

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Lopez *et al.*

Serial Number: 09/941,833, ✓

Filed: August 30, 2001

For: METHODS FOR COVALENTLY ATTACHING  
NUCLEIC ACIDS TO SUBSTRATES

)  
)  
) Art Unit: 1651  
)

)  
) Examiner: To be assigned  
)

)  
) DOCKET NO.: UNME-0108-1  
)

Director of U. S. Patents and Trademarks  
Washington, D.C. 20231

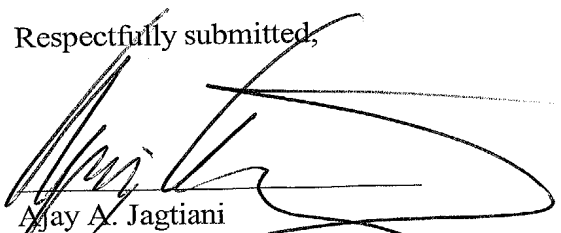
**LETTER**

Sir:

The below-identified communication(s) is (are) submitted in the above-captioned application or proceeding:

- ☒ Response to Notice to File Corrected Application Papers
- ☒ Formal Drawings (10 sheets)
- ☒ Copy of Notice to File Corrected Application Papers
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. §§ 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 10-0233, UNME-0108-1.**

Respectfully submitted,

  
Ajay A. Jagtiani  
Registration Number 35,205

November 2, 2001

**JAGTIANI + GUTTAG**  
Democracy Square Business Center  
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TELETYPE UNIT

# FEE TRANSMITTAL FORM

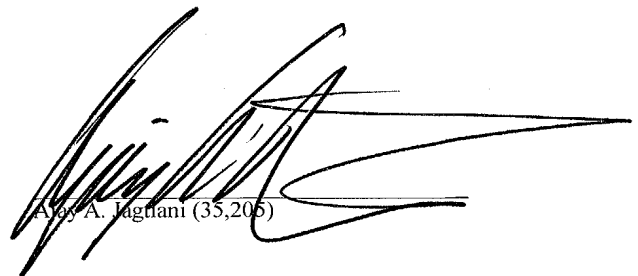
CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
	TOTAL CLAIMS	216-20	196	x\$18 =	\$3,528.00
	INDEPENDENT CLAIMS	9-3	6	x\$80 =	\$480.000
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$270 =	\$0
				BASIC FEE	\$710.00
				TOTAL OF ABOVE	\$4,718.00
	REDUCTION BY 50% FOR FILING BY SMALL ENTITY (note 37 C.F.R. §§ 1.9, 1.27, 1.28).				\$2,359.00
				<b>TOTAL=</b>	<b>\$2,359.00</b>

- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27  
☒ is enclosed  
☐ was filed in prior application number \_\_\_\_\_ and such status is still proper and desired (37 CFR 1.28(a)).
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to **Deposit Account No. 10-0233 UNME-0108-1.**
- ☐ A check in the amount of \_\_\_\_\_ is enclosed.
- ☐ Where applicable, the nucleotide and/or amino acid sequence disclosed in the application and provided on diskette are the same and, contain no new matter. This statement is made pursuant to 37 CFR § 1.821(e), 1.821(f), 1.821(g), 1.821(b) or 1.821(d).
- ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

Address all future communications: (May only be completed by applicant or attorney/agent of record.)

**JAGTIANI & ASSOCIATES**  
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August 30, 2001

  
Ajay A. Jagtiani (35,205)

- ☒ Attorney or Agent of Record
- ☐ Inventor(s)
- ☐ Assignee of Complete Interest
- ☐ Filed under § 1.34(a)



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**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Sir:

This is in response to the Notice to File Corrected Application Papers mailed on September 26, 2001, the period of response to which is set to expire on November 26, 2001.

**REMARKS**

Please find enclosed substitute ten (10) drawing sheets now in compliance with 37 CFR 1.84.

Regarding the request for a sequence listing, applicant notes the following:

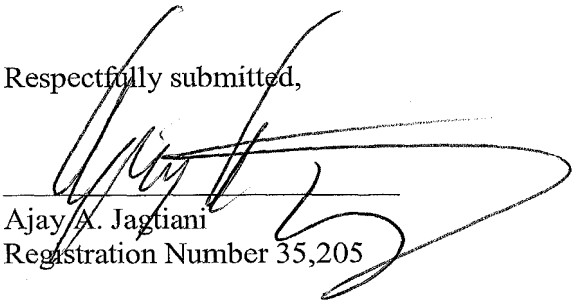
The sequence disclosure rules (37 CFR 1.821-1.825) require a paper and computer readable copy of a Sequence Listing to be submitted disclosing any nucleotide and/or amino acid sequences contained in the patent application. Nucleotide and/or amino acid sequences as used in 37 CFR 1.821-1.825 are interpreted to mean an unbranched sequence of four or more amino acids or an unbranched sequence of ten or more nucleotides.

**Serial Number: 09/941,833**

No such sequences are contained within the present application. Thus, Applicants assert that no Sequence Listing is required in the present application, and that the application is in compliance with the rules.

Please direct any inquiries in connection with this application directly to the undersigned.

Respectfully submitted,



Ajay A. Jagtiani  
Registration Number 35,205

November 2, 2001

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